

MiFID Summary Transposition Table

Member State: Denmark

Date Table Prepared: 30/11/07

1. List of completed transposing measures (where applicable) (including transposing measures completed but not yet applied)

	Name, date and number of transposing measure	Official journal or gazette reference	Internet address (where available)	Internet address of unofficial translation(s) (where available)	Date of application	Provisions of Level 1 or Level 2 Directive that are transposed by this measure
1	No. 213 of 5th March 2007 om værdipapirhandlers udførelse af ordrer	No. 213 of 5th March 2007	http://https://www.retsinformation.dk/Forms/R0710.aspx?id=27467	http://	01/11/07	2006/73
2	No. 1225 of 22nd October 2007 om storaktionærer	No. 1225 of 22nd October 2007	http://http://www.finanstilsynet.dk/sw147.asp	http://	01/11/07	2004/39
3	No. 428 of 9th May 2007 om de organisatoriske krav til og betingelserne for drift af virksomhed som værdipapirhandler	No. 428 of 9th May 2007	http://https://www.retsinformation.dk/Forms/R0710.aspx?id=27568	http://	01/11/07	2004/39
4	No. 440 of 11th May 2007 om hvilke fysiske og juridiske personer der kan udføre tjenesteydelser i forbindelse med værdipapirhandel på erhvervsmæssigt grundlag uden tilladelse efter lov om finansiel virksomhed.	No. 440 of 11th May 2007	http://https://www.retsinformation.dk/Forms/R0710.aspx?id=27570	http://	01/11/07	2004/39

5	No.715 of 21st June 2007 om oplysninger i investeringsforeningers, specialforeningers, professionelle foreningers, godkendte fåmandsforeninger og hedgeforeningers prospekter	No.715 of 21st June 2007	http://https://www.retsinformation.dk/Forms/R0710.aspx?id=27633	http://	01/11/07	2004/39
6	No.809 of 29th June 2007 om investorbekyttelse ved værdipapirhandel (Directive 2006/73/EU)	No.809 of 29th June 2007	http://https://www.retsinformation.dk/Forms/R0710.aspx?id=27626	http://	01/11/07	2006/73
7	No.821 of 2nd July 2007 om investeringsrådgiveres ansvarsforsikring	No.821 of 2nd July 2007	http://https://www.retsinformation.dk/Forms/R0710.aspx?id=27652	http://	01/11/07	2004/39
8	No.1178 of 11th October 2007 om bindende prisstillelser på aktier samt gennemsigtighed for handel med værdipapirer	No.1178 of 11th October 2007	http://https://www.retsinformation.dk/Forms/R0710.aspx?id=105171	http://	01/11/07	2004/39
9	No.1189 of 10th October 2007 om indberetning af transaktioner ved værdipapirhandel	No.1189 of 10th October 2007	http://https://www.retsinformation.dk/Forms/R0710.aspx?id=105126	http://	01/11/07	2004/39
10	No.1224 of 22nd October 2007 om revisionens gennemførelse i finansielle virksomheder m.v. samt finansielle koncerner	No.1224 of 22nd October 2007	http://https://www.retsinformation.dk/Forms/R0710.aspx?id=105268	http://	01/11/07	2004/39

11			http://	http://		
12			http://	http://		

2. List of proposed transposing measures (where applicable)

	Name of proposed transposing measure (and number where applicable)	Stage in adoption process reached	Internet address of draft text (where available)	Internet address of unofficial translation(s) (where available)	Expected date of application (where not possible to estimate leave blank)	Provisions of Level 1 or Level 2 Directive that would be transposed by this measure
1			http://	http://		
2			http://	http://		
3			http://	http://		
4			http://	http://		
5			http://	http://		
6			http://	http://		
7			http://	http://		
8			http://	http://		
9			http://	http://		
10			http://	http://		
11			http://	http://		

12			http://	http://		
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3. Any other remarks (including information unable to fit in above tables)